

FIG. 1

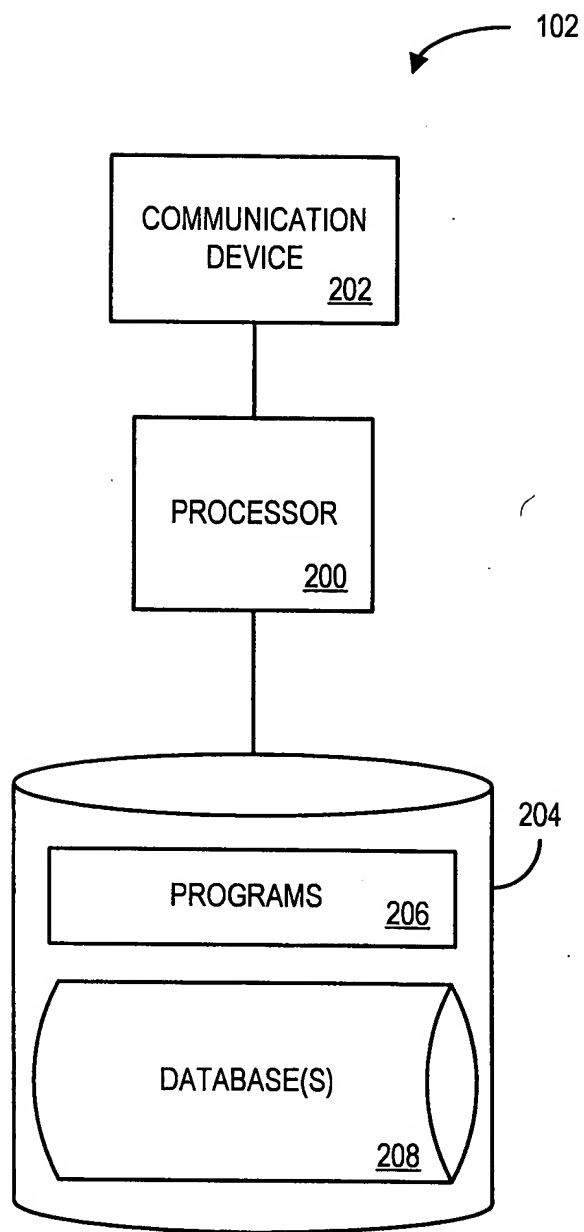


FIG. 2

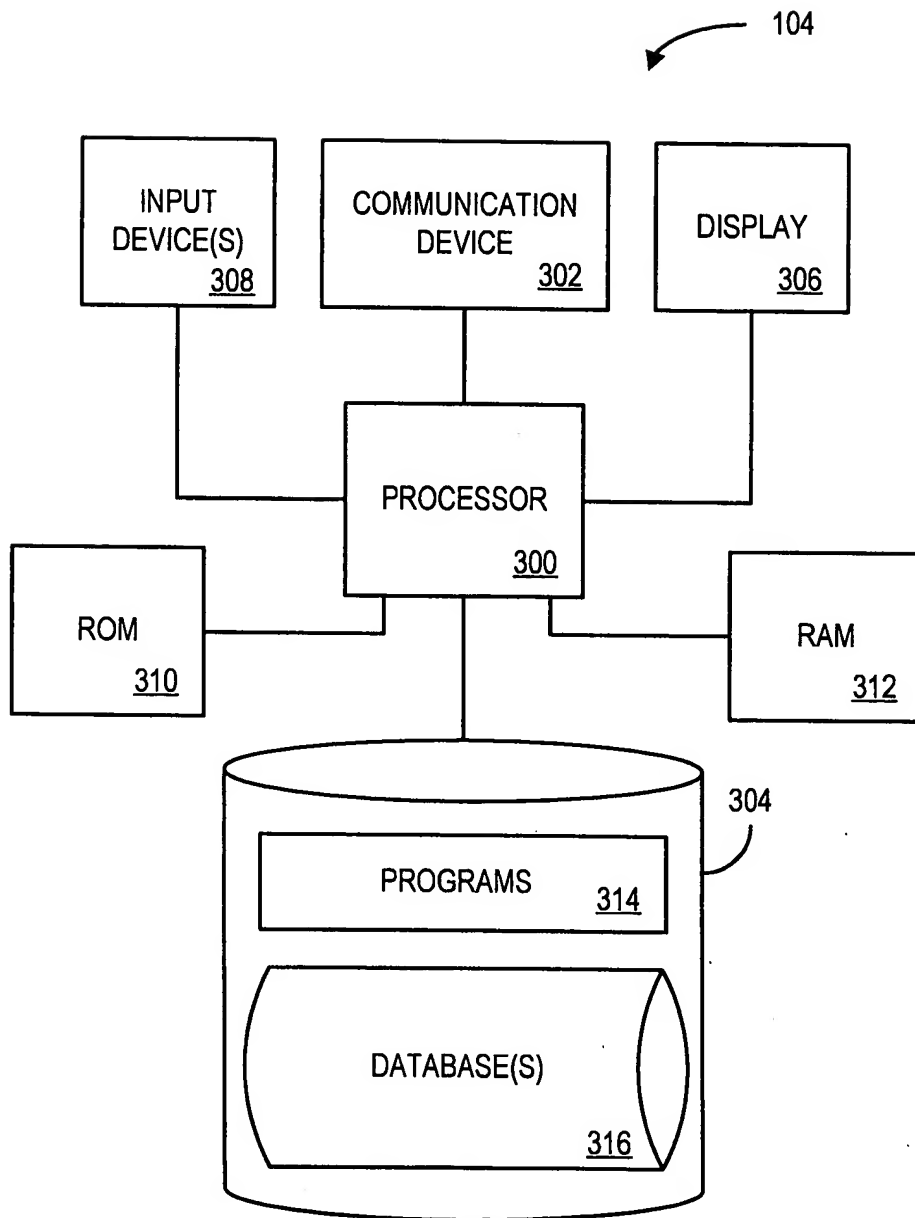


FIG. 3

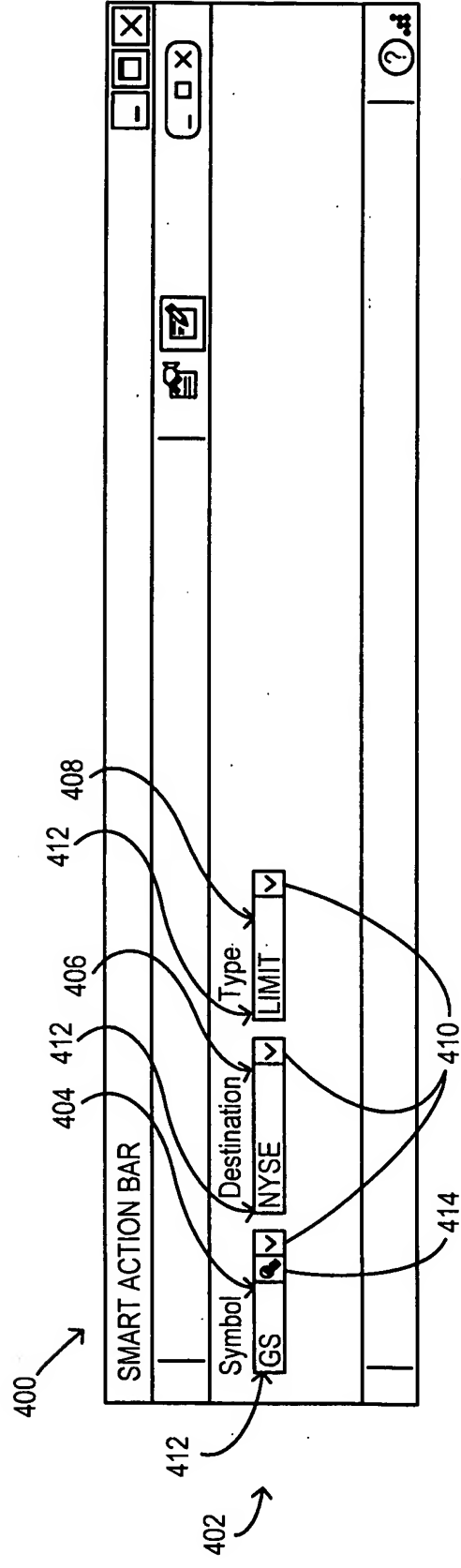


FIG. 4

400

404

406

408

500

502

504

506

508

510

512

514

516

SMART ACTION BAR

Symbol

GS

Destination

NYSE

Type

LIMIT

Side

BUY

Price

73.60

Quantity

1000

Account

ACCT1

TIF

DAY

Peg Value

Refresh

Buy

Memo

Scale

BUY 1000 shares GOLDMAN SACHS at NYSE LIMIT 73.60 for ACCT1

FIG. 5

Figure 1 is a screenshot of a trading application interface. The interface includes a "SMART ACTION BAR" at the top with various icons. Below it, a table displays a single trade entry for "GS" (Goldman Sachs) with a "LIMIT" order type, "BUY" side, and a price of "73.60". The table columns are labeled: Symbol, Destination, Type, Side, Price, Quantity, Tag, and ref\_no. At the bottom, there are buttons for "Refresh", "Buy Ticket", and "Memo". The interface is annotated with reference numerals 400 through 516.

Fig. 6

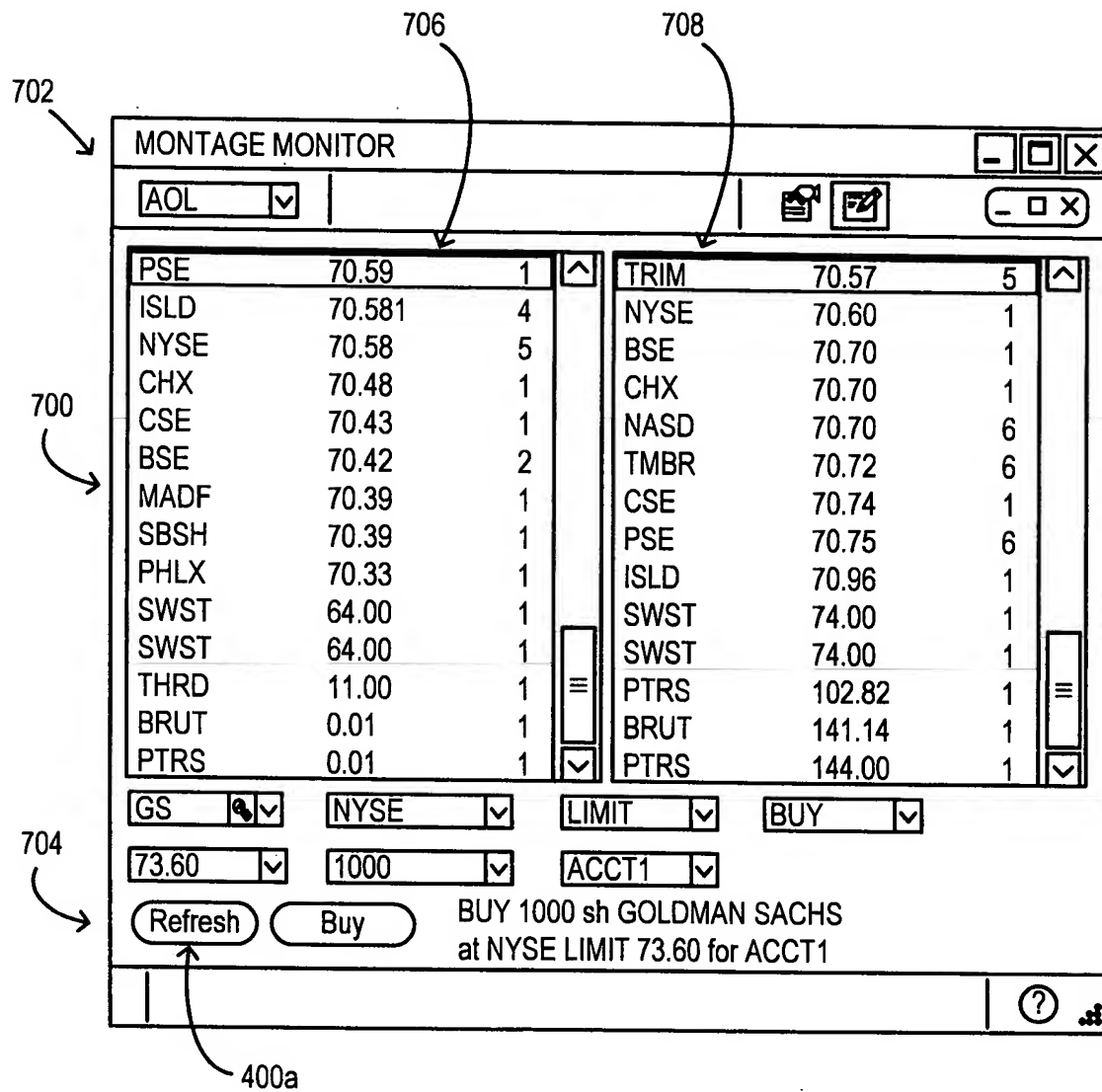


FIG. 7

802

OPTION MONTAGE

800

Misc Bid  Ask  Chg

Close 79.00 High 82.65 Low 77.85 Last

Open 78.00 %  Size 1x204 Vol 108385

806

Exchange	Bid	Call Bid Size
COMP	3.00	244
CBOE	3.00	244
AMEX	3.00	50
PSE	3.00	100
PHLX	3.00	<input type="text" value="50"/>

804

Exchange	Bid	Call Ask Size
CBOE	3.20	194
AMEX	3.20	250
PSE	3.30	100
PHLX	3.20	3

808

404

IBM CP SELL AUTO UNCVR ISE

400a

DAY 1 LIMIT 2.95 ACCT1

SELL 1 Option IBM CP AUTO Uncovered ISE LIMIT 2.95 for ACCT1

810

FIG. 8



900 →
912 →
908 →
910 →

Quote-1

Symbol	Last	Change	Alert H	Alert L	Avg Exe	Best Order	Exe Qty	Buy Size	Open Pos	PandL	Position	Shs Bght	Shs Sold	Value
A	23.43	1.44												
B	26.86	0.41												
GE	31.19	0.44							5,100	2,244	5,100			159,068
MO	44.94	0.64												
KO	44.33	0.08												
IBM	91.71	1.63							20,050	32,679	20,052			1,838,783
AOL	15.72	0.21							-5,000	94	-4,800	200		-75,456
LU	2.24	0.08							15,000	1,198	15,000			33,600
MSFT	29.42	0.94							3,000	2,789	3,000			88,229
CSCO	20.99	1.00							5,465	5,465	5,465			114,709
SCMR	4.87	0.14								-36		5,600	5,600	
HAND	1.17	0.03												
ZYZZT	0.00	0.00							-300	-424,456	-292,500	114,100	406,300	-580,899
ZXZZT	1538.95	38.95								-231,564	-500,700	500	501,200	-825,358
SPY	104.17	1.69												
DIA	96.62	1.43												
QQQ	34.43	1.17							130	158	130	100	100	4,475

Time	BrSeq	AcctAlias	Side	Exe Qty	Lvs	Symbol	Price	TIF	Start
14:27:56	SUP4070	RISHI	B	0	100	ZXZZT	SLMT 113	Day	0
14:27:58	SUP4072	RISHI	B	0	100	ZXZZT	SLMT 153	Day	0

SIDE
 
 ZXZZT
 
 SIGMA
 
 Smart Lmt

PRICE
 
 100
 
 DISPLAY
 
 RISHI
 
 Day
 
 Submit

FIG. 9

400b

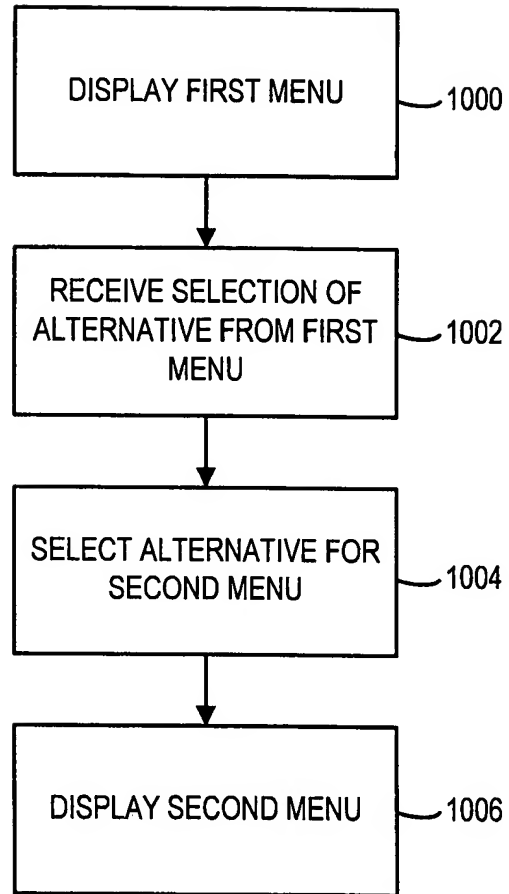


FIG. 10

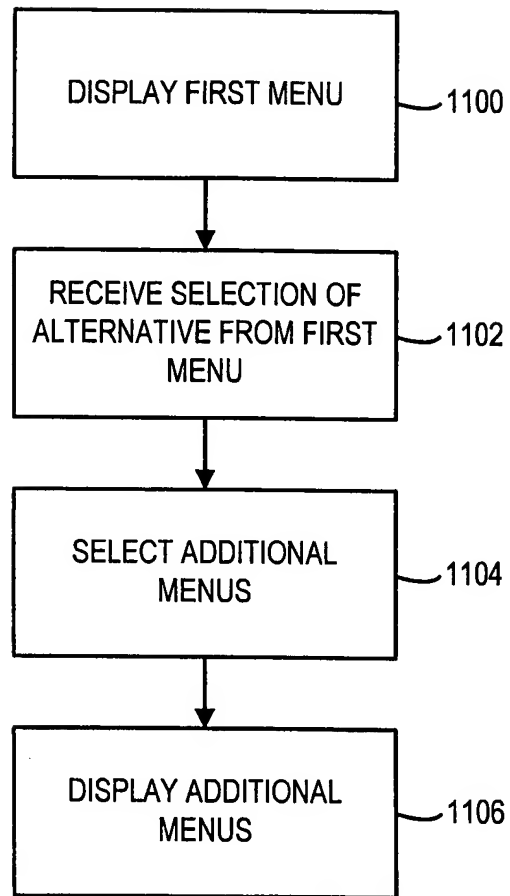


FIG. 11

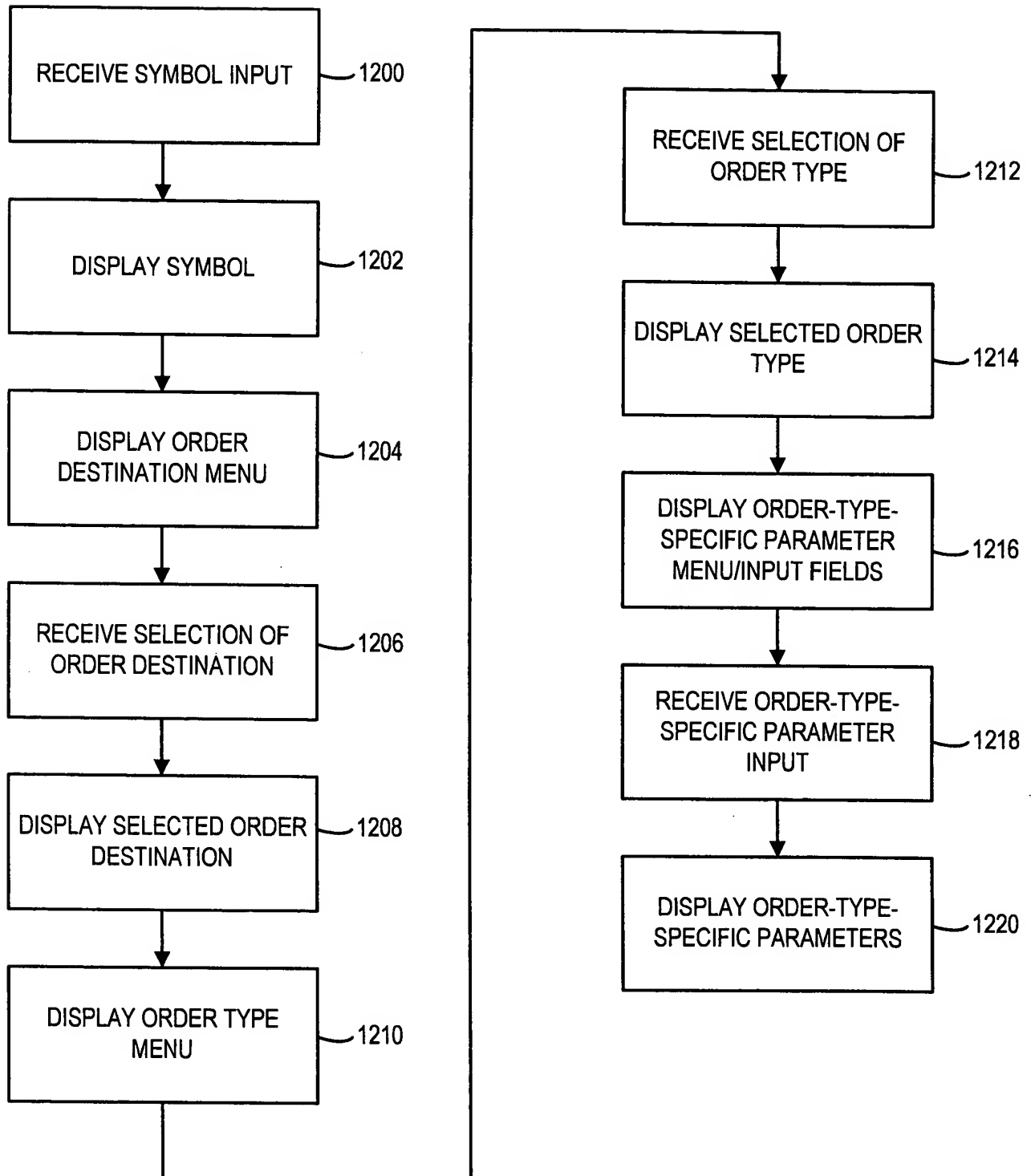


FIG. 12